



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.unpto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/731,238

12/10/2003

Magnus Hook

P06338US02/BAS

000881 STITES & HARBISON PLLC 1199 NORTH FAIRFAX STREET

SUITE 900 ALEXANDRIA, VA 22314



CONFIRMATION NO. 4866

FORMALITIES LETTER

\*OC00000012455409\*

Date Mailed: 04/27/2004

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

## RESPONSE TO SEQUENCE NOTICE AND REQUEST TO TRANSFER PRIOR SEQUENCE INFORMATION

Application #	10/731,238
Confirmation #	4866
Filing Date	December 10, 2003
First Inventor	HOOK
Art Unit	1645
Examiner	
Docket #	P06338US02/BAS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



SIR:

In response to the Notice dated April 27, 2004, Applicants respond as Follows:

The paper copy of the Sequence Listing in this application (a divisional application of U.S. App. No. 09/010,317, now US Pat. No. 6,685,943) is identical to the computer readable copy of the Sequence Listing filed in the parent application, U.S. App. No. 09/010,317, filed January 21, 1998 (the grandparent application to the present application). Thus, in accordance with 37 CFR §1.821(e), please use the last-filed computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application. A paper copy of the Sequence Listing is included in the originally filed divisional application starting at page 140.

Favorable consideration is respectfully requested.

Respectfully submitted, STITES & HARBISON, PLLC

June 28, 2004

B. Aaron Schulman Registration No. 31,877

1199 North Fairfax Street, Suite 900 Alexandria, Virginia 22314 (703) 739-4900

# RESPONSE TO NOTICE TO COMPLY

	Application #	10/731,238
	Confirmation #	4866
	Filing Date	10 December 2003
	First Inventor	HOOK
	Art Unit	1645
	Examiner	
	Docket #	P06338US02/BAS

### **Mail Stop MISSING PARTS**

Commissioner of Patents Arlington, VA 22202

SIR:

In response to the Notice to File Missing Parts of Application mailed April 27, 2004, enclosed are the following:

X	Copy of Notice to Notice to Comply with Requirements
	Declaration signed by the named inventor(s)
	Application Data Sheet supplementing signed declaration
	Translation – an Accurate English translation of the application
	Payment for \$ *_ (as calculated below)
	Assignment Recordation Form Cover Sheet and document to be recorded
	Priority Document Certified copy, the priority of which is hereby claimed
	Preliminary Amendment reducing the filing fee.
	IDS (Information Disclosure Statement)
X	Response to Sequence Notice and Request to Transfer Prior Sequence Information
Nc	te:Applicant claims <b>small entity status</b>
I	

FEE CALCULATION (after reducing filing fee by preliminary amendment if noted above).						
	<u>Now</u>	Basic Number	Present Extra	Rate	<u>\$</u>	
TOTAL CLAIMS		- 20		X \$ 18 =	·	
INDEP. CLAIMS		- 3		X \$ 86 =		
MULTIPLE DEPEND	+ \$ 290 =					
X LATE FILING SURCHARGE + \$ 130 =						
X BASIC FEE \$ 770 =						
TOTAL OF ABOVE CALCULATIONS =						
Reduction by ½ fo	_					
SUBTOTAL =						
Fee for recording	+ \$ 40 =					
Fee for non-Engli	+ \$ 130 =					
TOTAL OF ALL FEES =						

- X Payment of \$ -0- is made by attached CREDIT CARD PAYMENT FORM (PTO-2038)

  If no payment or an insufficient payment is enclosed and a fee is due in connection herewith, the Commissioner is authorized to charge any fee or additional fee due in connection herewith to Deposit Account No. 12-0555.
- In the event that a petition for extension of time is required to be submitted herewith and that a separate petition is not submitted herewith, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized above.

Respectfully submitted

Date: 28 June 2004

By: B. Aaron Schulman Registration No.: 31,877

STITES & HARBISON, PLLC + 1199 North Fairfax St. + Suite 900 + Alexandria, VA 22314
TEL: 703-739-4900 + FAX: 703-739-9577 + EMAIL: iplaw@larsontaylor.com